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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/812,450

03/30/2004

Amitava Sengupta

2000.180

29494 ROBERT H. HAMMER III, P.C. 3121 SPRINGBANK LANE SUITE I CHARLOTTE, NC 28226



**CONFIRMATION NO. 3166** FORMALITIES LETTER OC000000012920661\*

Date Mailed: 06/10/2004

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/21/2004 HLE333

00000128 082447

10812450

01 FC:1051

130.00 DA

FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$130 for a Large Entity

• \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

101	170.00
(30)	1/0.00

Complete if Known				
Application Number	10/812,450			
Filing Date	March 30, 2004			
First Named Inventor	Amitava Sengupta			
Examiner Name				
Art Unit	1723			
Attorney Docket No.	2000.180			

METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)					
Check Credit card Money Other None 3. ADDITIONAL FEES						
X Deposit Account:	<u>Large</u>	Entity	Smal	I Entity	!	
Denosit		Fee (\$)	Fee Code	Fee (\$)	Fee Description	Foo Doid
Account 08-2447		130	2051	• •	Surcharge - late filing fee or oath	130.00
Number Deposit Account Robert H. Hammer III, P.C.		50	2052		Surcharge - late provisional filing fee or cover sheet	130.00
Name The Director is authorized to: (check all that apply)		130	1053	130	Non-English specification	
X Charge fee(s) indicated below $X$ Credit any overpayments		2,520	1812	2,520	For filing a request for ex parte reexamination	
Charge any additional fee(s) or any underpayment of fee(s)		920*	1804	920*	Requesting publication of SIR prior to Examiner action	
Charge fee(s) indicated below, except for the filing fee		1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
to the above-identified deposit account.		110	2251	55	Extension for reply within first month	
FEE CALCULATION  1. BASIC FILING FEE	1252	420	2252	210	Extension for reply within second month	
Large Entity Small Entity	1253	950	2253	475	Extension for reply within third month	
Fee Fee Fee Fee Description Fee Paid	1254	1,480	2254	740	Extension for reply within fourth month	
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension for reply within fifth month	
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of Appeal	
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing brief in support of an appeal	
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding	
SUBTOTAL (1) (\$)		110	2452	55	Petition to revive - unavoidable	
		1,330	2453	665	Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665	Utility issue fee (or reissue)	
Extra Claims below Fee Paid	1502	480	2502	240	Design issue fee	
Total Claims X = X =	1503	640	2503	320	Plant issue fee	
Claims 3** = X =	1460	130	1460	130	Petitions to the Commissioner	
	1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity	1806	180	1806	180	Submission of Information Disclosure Stmt	
Code (\$) Code (\$)	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40.00
1202         18         2202         9         Claims in excess of 20           1201         86         2201         43         Independent claims in excess of 3	1809	770	2809	385	Filing a submission after final rejection (37 CFR 1.129(a))	
1203 290 2203 145 Multiple dependent claim, if not paid 1204 86 2204 43 ** Reissue independent claims	1810	770	2810	385	For each additional invention to be examined (37 CFR 1.129(b))	
over original patent	1801	770	2801	385	Request for Continued Examination (RCE)	
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent	1802	900	1802	900	Request for expedited examination of a design application	
SUBTOTAL (2) (\$)		fee (sp	• • • •			<u></u>
**or number previously paid, if greater; For Reissues, see above		uced by	/ Basic	Filing F	Fee Paid SUBTOTAL (3) (\$)	170.00

SUBMITTED BY (Complete (if applicable) Registration No. Name (Print/Type) Robert H. Hammer III 31.764 Telephone 704-927-0400 Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Ú.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket No. 2000.180



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Sengupta, et al

1 3 . 8

Art Unit: 1723

Serial No. 10/812,450

Examiner:

Filed: March 30, 2004

For: THREE-PORT HIGH PERFORMANCE MINI HOLLOW FIBER MEMBRANE CONTACTOR

# REPLY TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

Mail Stop Missing Parts Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In reply to the "Notice to File Missing Parts of Nonprovisional Application" dated June 10, 2004, attached hereto please find:

- 1. Confirmation No. 3166 Formalities Letter.
- A completely executed "Combined Declaration and Power of Attorney".
- 3. A completely executed Assignment of the invention and application to Celgard Inc. and Recordation Form.

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 19, 2004.

Janice B. Davis

4. Fee Transmittal form (in duplicate).

Please have the assignment recorded.

Respectfully submitted,

Robert H. Hammer III Attorney for Applicant Reg. No. 31,764

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